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Page 1 of 2

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/052,664	01/17/2002	Paul David Cannon	ROCH-001DIV

24353
BOZICEVIC, FIELD & FRANCIS LLP
200 MIDDLEFIELD RD
SUITE 200
MENLO PARK, CA 94025

CONFIRMATION NO. 4008

FORMALITIES LETTER



OC000000007554411

Date Mailed: 02/28/2002

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because
 - The specification cannot be scanned or properly stored. Page(s)
 - Papers must be legibly written either by a typewriter or mechanical printer in permanent ink or its equivalent in portrait orientation on flexible, strong, smooth, non-shiny, durable, and white paper. Application papers must be presented in a form having sufficient clarity and contrast between the paper and the writing thereon to permit the direct reproduction of readily legible copies in any number by use of photographic, electrostatic, photo-offset, and microfilming processes and electronic reproduction by use of digital imaging and optical character recognition. Pages 10-37 are not in compliance with 37 CFR 1.52(a). The drawings filed are unacceptable because:
Replacement page(s), together with a statement that the replacement page(s) contain no new matter, are required.

03/05/02
DOCKETEDCorrected Papers due 04/28/02
L.D. 09/28/02A copy of this notice **MUST** be returned with the reply.

RECEIVED

MAR 05 2002

Bozicevic, Field & Francis

Please type a plus sign (+) inside this box →



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PTO/SB/21 (08-00)

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

41

Application Number

10/052,664

Filing Date

January 17, 2002

First Named Inventor

CANNON, PAUL D.

Group Art Unit

1632

Examiner Name

To Be Assigned

Attorney Docket Number

ROCH001DIV

ENCLOSURES (check all that apply)



Fee Transmittal Form

☐ Fee Attached



Amendment / Reply

☐ After Final

☐ Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Documents



Response to Missing Parts/
Incomplete Application

☐ Response to Missing Parts
under 37 CFR 1.52 or 1.53



Assignment Papers
(for an Application)



Drawing(s)



Licensing-related Papers



Petition



Petition to Convert to a
Provisional Application



Power of Attorney, Revocation
Change of Correspondence
Address



Terminal Disclaimer



Request for Refund



CD, Number of CD(s) _____



After Allowance Communication
to Group



Appeal Communication to Board
of Appeals and Interferences



Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)



Proprietary Information



Status Letter



Other Enclosure(s) (please
identify below):

- 1) Response to Notice to File
Corrected Application
Papers (2 pgs.)
- 2) Notice to File Corrected
Application Papers
(1 pg.)
- 3) Substitute Specification
(35 pgs.)
- 4) Return Postcard

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm

or

Individual Name

PAULA A. BORDEN, Reg. No. 42,344

Signature

Date

April 30, 2002

CERTIFICATE OF MAILING

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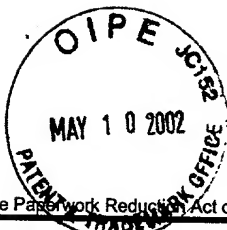
Typed or printed name

Cindy Kim Hoang

Signature

Date April 30, 2002

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT		(\$ 110.00)	
METHOD OF PAYMENT		Complete if Known	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit overpayments to: Deposit Account Number 50-0815 Deposit Account Name Bozicevic, Field & Francis LLP <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.116 and 1.117 <input type="checkbox"/> Applicant Claims small entity status. See 37 CFR 1.27		Application Number 10/052,664	
2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other		Filing Date January 17, 2002	
FEE CALCULATION		First Named Inventor CANNON, PAUL D.	
2. BASIC FILING FEE		Examiner Name To Be Assigned	
Large Entity Fee Code (\$)		Group Art Unit 1632	
Small Entity Fee Code (\$)		Attorney Docket No. ROCH001DIV	
Fee Description			
Fee Paid			
SUBTOTAL (1) 0.00			
1. EXTRA CLAIM FEES		3. ADDITIONAL FEES	
Total Claims -20** = X		Large Entity Fee Code (\$)	
Indep. Claims -3** = X		Small Entity Fee Code (\$)	
Multiple Dependent =		Fee Description	
Fee from below =		Fee Paid	
SUBTOTAL (2) \$ 0.00			
**or number previously paid, if greater; For Reissues, see above.			
		Other fee (specify)	
		Reduced by Basic Filing Fee Paid	
		SUBTOTAL (3) (\$ 110.00)	

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Paula A. Borden	Registration No. (Attorney/Agent)	42,344
Signature		Telephone	(650) 327-3400
		Date	04/30/2002

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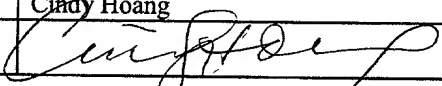
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MAY 10 2002
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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.			
Typed or Printed Name	Cindy Hoang		
Signature		Date	April 30, 2002

RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS Address to: Box Patent Application Assistant Commissioner for Patents Washington, D.C. 20231	Attorney Docket Confirmation No.	ROCH001DIV 4008
	First Named Inventor	Cannon
	Application Number	10/052,664
	Filing Date	January 17, 2002
	Group Art Unit	1632
	Examiner Name	To Be Assigned
	Title	HUMAN INTESTINAL Npt2B

Sir:

This paper is responsive to the Notice to File Corrected Application Papers dated February 28, 2002 for which a two-month period for response was given making this response due on or before April 28, 2002. A Petition for a One-Month Extension of Time is submitted herewith, making this amendment due on or before May 28, 2002. Accordingly, this response is timely filed.

I. REMARKS

The Notice to File Corrected Application Papers stated that pages 10-37 of the specification filed on January 17, 2002 do not comply with the requirements of 37 C.F.R. § 1.52(a), and required a Substitute Specification.


A Substitute Specification in compliance with 37 C.F.R. § 1.52 is filed herewith. The undersigned hereby certifies that the Substitute Specification contains no new matter.

II. CONCLUSION

The Commissioner is hereby authorized to charge any underpayment of fees associated with this communication, including any necessary fees for extensions of time, or credit any overpayment to Deposit Account No. 50-0815, order number ROCH001DIV.

Respectfully submitted,
BOZICEVIC, FIELD & FRANCIS LLP

Date: Apr. 30, 2002

By: 
Paula A. Borden
Registration No. 42,344

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